

**THE LOCAL PUBLIC SECTOR'S ROLE IN ACHIEVING DEVELOPMENT GOALS**  
**LPS COUNTRY SURVEY - PAGE 1**

**I. BASIC COUNTRY INFORMATION**

C1.1	Country Name:	Peru
C1.2	Financial Year (2010 or 2010/11?):	2010
C1.9	National population:	29,461,933
C1.10	Population density (pop per sq. km):	23
C1.11	Percent Urban Population	77
C2.1	Administrative Tradition:	Other
C3.1	Unitary or Federal Country:	Unitary
	Major Decentralization Reform (2000-2010)?:	Yes

**II. STRUCTURE OF THE PUBLIC SECTOR (MAIN LEVELS / TIERS / TYPES)**

G1.1	Name of subnational level / tier / type	Number of jurisdictions	Average population
C.	Central (national) government		
1.	Regional Government	25	1,178,477
2.	Local Government	1,838	16,029
3.	...		
4.	...		

**III. ORGANIZATIONAL / GOVERNANCE STRUCTURE OF THE PUBLIC SECTOR**

	Subnational Level / Tier / Type	1.	2.	3.	4.
<b>G1</b>	<b>Main features of local entities</b>				
G1.2	Are local entities corporate bodies?	Yes	Yes	...	...
G1.4	Do local entities have their own political leadership?	Yes	Yes	...	...
G1.6	Do local entities prepare/adopt/manage their own budgets?	Mixed / Other	Mixed / Other	...	...
G1.7	If G1.2 no, are local entities budgetary sub-units of the higher-level?	...	...	...	...
	If G1.7 yes, horizontal deconcentration or vertical deconcentration?	...	...	...	...
G1.8	If G1.2 no, are local entities non-budgetary sub-units of the higher-level?	...	...	...	...
<b>G2</b>	<b>Governance of local entities</b>				
G1.5	If G1.4 yes, is the local political leadership (at least in part) locally elected?	Yes	Yes	...	...
G2.2	If G1.5 yes, have elections been held in the past seven years?	Yes	Yes	...	...
G2.3	Does the local political leadership include elected local councils?	Yes	Yes	...	...
G2.4	If G2.3 no, is there a local advisory / supervisory council?	...	...	...	...
G2.5	Is the local executive directly or indirectly elected?	Yes	Yes	...	...

**IV. (DE FACTO) ASSIGNMENT OF FUNCTIONS & EXPENDITURE RESPONSIBILITIES**

		Personnel	O&M	Supplies	Capital
<b>707</b>	<b>Health</b>				
F1.18	Public health and outpatient services (7072,7074)	1,2	C,1	C,1,2	C,1,2
F1.19	Hospital services (7073)	C,1	C,1,2	C,1,2	C,1,2
<b>709</b>	<b>Education</b>				
F1.22	Pre-primary Education (70911)	C,1	C	C,1	C,1
F1.23	Primary Education (70912)	C,1	C	C,1	C,1
F1.24	Secondary Education (7092)	C,1	C	C,1	C,1
F1.25	Vocational (postsecondary, nontertiary) Education (7093)	C,1	C	C,1	C,1
F1.26	Tertiary Education (7094)	C,1	C	C,1	C,1

THE LOCAL PUBLIC SECTOR'S ROLE IN ACHIEVING DEVELOPMENT GOALS  
LPS COUNTRY SURVEY - PAGE 2

V. BACKGROUND ON PUBLIC HEALTH AND EDUCATION EXPENDITURES (INCLUDING DEVELOPMENT ASSISTANCE)

C1.5	Currency Name:	Nuevo Sol (S/.) PEN
C1.6	Currency Unit (e.g., thousands, millions):	Thousands
C1.7	Exchange Rate (US\$ 1 = X Local Currency)	2801
C1.8	Gross Domestic Product	444,459,676,000
Z5.9	Actual spending or budget estimates?	Actual
ODA 1	ODA spending included in public accounts?	Partial

	ODA Spending by Sector (US\$ millions)	2000	2005	2010
ODA 2	Health Sector	19.04	33.50	24.02
ODA 3	Education Sector	17.88	31.80	70.83
ODA 4	Total ODA (all sectors)	377.41	443.29	590.23

	ODA Modalities	SWAp (Yes/No)	Sector Basket (Yes/No)	Budget Support (Yes/No)	Local ODA 2010 (US\$ million)
ODA 5	Health Sector	Yes	Mixed / Other	Mixed / Other	
ODA 6	Education Sector	Yes	Mixed / Other	Mixed / Other	

VI. SECTORAL SPENDING: HEALTH EXPENDITURES (E707)

	Subnational Level / Tier / Type	Pers. Exp.	O&M Exp.	Supplies Exp.	Capital Exp.	Total Exp.
C	Central (Non-Local) Expenditures	980,097,116	401,788,175	75,224,932	24,752,137	1,481,862,360
D	Direct & Delegated Expenditures	227,792,674	357,859,077	824,823,134	447,824,760	1,858,299,645
1	Regional Government	#####	734,538,412	391,684,933	463,307,127	3,005,288,646
2	Local Government	9,686,841	32,999,897	9,660,957	246,217,375	298,565,070
3	...					
4	...					
	<b>Total Expenditures</b>	#####	#####	#####	#####	6,644,015,721
	Substantial central control over LPS Exp?	Yes	Yes	Yes	Yes	Yes

VII. SECTORAL SPENDING: EDUCATION (E709)

	Subnational Level / Tier / Type	Pers. Exp.	O&M Exp.	Supplies Exp.	Capital Exp.	Total Exp.
C	Central (Non-Local) Expenditures	#####	221,652,439	75,224,932	344,733,544	1,719,019,390
D	Direct & Delegated Expenditures	#####	#####	183,804,599	517,061,299	3,104,484,373
1	Regional Government	#####	242,586,491	112,212,843	874,725,508	6,506,800,453
2	Local Government	334,459,176	15,548,970	4,047,719	942,550,836	1,296,606,701
3	...					
4	...					
	<b>Total Expenditures</b>	#####	#####	375,290,093	#####	#####
	Substantial central control over LPS Exp?	Yes	Yes	Yes	Yes	Yes

VIII. SECTORAL HUMAN RESOURCES / STAFFING LEVELS: HEALTH AND EDUCATION

	Subnational Level / Tier / Type	Health Sector	Education Sector
C	Central Government Staff	49,753	176,021
1	Regional Government	0	402,553
2	Local Government		
3	...		
4	...		
	<b>Total Sectoral Staff</b>	49,753	578,574

**THE LOCAL PUBLIC SECTOR'S ROLE IN ACHIEVING DEVELOPMENT GOALS**  
**LPS COUNTRY SURVEY - PAGE 3**

**IX. POLITICAL INSTITUTIONS AT THE LOCAL LEVEL**

	<b>Subnational Level / Tier / Type</b>	<b>1.</b>	<b>2.</b>	<b>3.</b>	<b>4.</b>
C3.4	The central government recognizes the principles of local autonomy and subsidiarity in law and in practice.	No	Yes	...	...
C3.7	Local government elections have been regularly held over the past 20 years.	No	Yes	...	...
P3.3	Local political candidates are (s)lected at local level with little or no central party involvement.	Yes	Yes	...	...
P3.5	The ruling national party does not have a dominant position in local elections; other parties control at least 25 percent of local governments.	Yes	Yes	...	...
P4.2	Local records and documents are required to be available (and are available) to the public.	Yes	Yes	...	...
P4.4	Alternative participatory mechanisms (such as referendums) are used at local level.	Yes	Yes	...	...

**X. ADMINISTRATIVE INSTITUTIONS AT THE LOCAL LEVEL**

	<b>Subnational Level / Tier / Type</b>	<b>1.</b>	<b>2.</b>	<b>3.</b>	<b>4.</b>
A2.2	Local jurisdictions engage in procurement of all infrastructure and goods/services needed to perform local functions.	Yes	No	...	...
A3.4	Local jurisdictions determine own staff structure and the number of local employees without requiring central approval.	No	No	...	...
A3.5 / A3.6	Local jurisdictions have the authority to set their own salary scales and allowances.	No	No	...	...
A3.7 / A3.8	Local jurisdictions recruit, hire, promote, and fire their own employees.	Mixed / Other	Yes	...	...
A4.4	Heads of local service delivery units (such as schools and clinics) have a degree of managerial autonomy in administering services.	Mixed / Other	Mixed / Other	...	...
A4.5	Local service delivery units (such as schools and clinics) have their own formal public oversight mechanisms.	Yes	Yes	...	...

**XI. FISCAL INSTITUTIONS AT THE LOCAL LEVEL**

	<b>Subnational Level / Tier / Type</b>	<b>1.</b>	<b>2.</b>	<b>3.</b>	<b>4.</b>
REV	Local own source revenues exceed 20% of local expenditures.	No	No	...	...
F2	Local jurisdictions have at least one major revenue source over which it sets the tax base and/or tax rate.	No	Yes	...	...
F3.1	Size of intergovernmental transfer pool is determined by fixed rules.	No	No	...	...
F3.2	Allocation of intergovernmental transfers is determined by rules or by transfer formulas.	Yes	Yes	...	...
F3.3a	Intergovernmental transfers are provided in complete, timely and consistent manner.	No	No	...	...
F4.1	Local entities generally have the right to borrow in their own name without obtaining specific central permission.	No	No	...	...

**THE LOCAL PUBLIC SECTOR'S ROLE IN ACHIEVING DEVELOPMENT GOALS**  
**LPS COUNTRY SURVEY - PAGE 4**

**XII. GLOBAL DEVELOPMENT OUTCOME INDICATORS**

	<b>Basic education outputs/outcomes</b>	<b>Outcomes 2000</b>	<b>Outcomes 2005</b>	<b>Outcomes 2010</b>
MDG 2.1	Net enrolment ratio in primary education	98.1	99.6	100.0
MDG 2.2	Proportion of pupils starting grade 1 who reach last grade of primary	80.9	89.7	81.5
MDG 2.3	Literacy rate of 15-24 year-olds, women and men	96.7	97.9	98.3
MDG 2.Z	Passing rate for primary school leaving exam			

	<b>Public health outputs /outcomes</b>	<b>Outcomes 2000</b>	<b>Outcomes 2005</b>	<b>Outcomes 2010</b>
MDG 4.1	Under-five mortality rate	39.7	28.1	20.2
MDG 4.2	Infant mortality rate	30.4	21.8	15.7
MDG 4.3	Proportion of 1 year-old children immunized against measles	97.0	80.0	94.0
MDG 5.2	Proportion of births attended by skilled health personnel	59.3	71.0	83.8
MDG 6.1	HIV prevalence among population aged 15-49 years	0.4	0.3	0.2
MDG 6.6	Incidence and death rates associated with malaria			1.0

	<b>Other MDG outputs/outcomes</b>	<b>Outcomes 2000</b>	<b>Outcomes 2005</b>	<b>Outcomes 2010</b>
MDG 1.1	Proportion of population below \$1 (PPP) per day	12.4	8.6	4.9
MDG 7.8	Proportion of population using an improved drinking water source	80.0	83.0	85.0

**XIII. PROFILE COMPLETION / CONTACT PERSON**

Contact person (name):	Volkmar Blum
Email:	volkmar.blum@giz.de
Remarks about completion:	See original